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#### **Research Article**

# Low-Dose Ketamine and Propofol Combination for Upper Endoscopy in Morbidly Obese Patients

#### Abstract

Aim: The purpose of this randomized double-blinded study is to compare the safety and efficacy of two different concentrations of ketofol on the intraoperative hemodynamics, respiration, bispectral index values and post-anesthesia recovery profiles in morbid obese patients undergoing upper GI endoscopy.

Subjects and methods: Patients were randomly allocated into two groups, group I (k/p 1/2), no = 50, and group II (k/p 1/4), no = 50, after receiving ethics committee approval and informed patient's consent. Patients in group I received bolus dose of ketamine 0.5 mg/kg + propofol 1 mg/kg, and group II received ketamine 0.25 mg/kg + propofol 1 mg/kg, intravenously in separate syringes (dosed ketamine then propofol). Incremental doses, half the first dose of propofol (0.5 mg/kg) were given to get the desired depth of sedation, modified by aiming at bispectral index (BIS) values between 70–80, and when the sedation was considered as inadequate by the endoscopist. The baseline measurements were obtained just before the administration of the study drugs. The primary outcome was emergence reactions (recovery agitation or hallucination) following the procedure.

**Results:** There was no significant difference between group I (k/p 1/2) and group II (k/p 1/4) as regard to demographic data (age, gender and BMI) and procedure duration. Discharge time from postanesthesia care unit (PACU) was prolonged in group I (Mean $\pm$ SD = 38.34 $\pm$ 4.28) compared to group II (Mean $\pm$ SD = 33.11 $\pm$ 4.89), p = 0.0001. The number of patients requiring propofol top-up doses was 20 (40%) in group I compared to 35 (70%) in group II (the low-dose ketamine group), p = 0.004. There was a significant difference in the mean propofol top-up dose between the two groups (30 $\pm$ 15 mg in group I and 41 $\pm$ 13 mg in group II, p = 0.0002). No significant difference between both groups as regard to BIS values and VAS pain score.

**Conclusion**: The use of ketamine and propofol combination for upper GI endoscopy in morbid obese patients appears to be safe, effective and preserve the hemodynamic and respiratory parameters.

## Introduction

Procedural sedation and analgesia refers to the technique of administering sedatives or dissociative agents with or without analgesics to induce an altered state of consciousness that allows the patient to tolerate painful or unpleasant procedures while preserving cardiorespiratory Function [1]. The aim of PSA is mainly to provide sedation, anxiolysis, analgesia and thereby enhances patient cooperation throughout the procedure. It is important to keep in mind that, the level of sedation can easily and quickly passed from conscious to deep sedation and result in loss of protective reflexes and in problems related to airway control [2]. Agents reported to achieve PSA includes midazolam, ketamine, propofol, fentanyl, remifentanil and dexmedetomidine [3]. The use of ketamine and propofol for procedural sedation and analgesia external to the surgical environment has grown in popularity [4]. Sedative drug selection and the dose depend on the patient's emotional state, the intensity of pain during the examination, anticipated technical difficulties, surgeon's experience and hospitalspecific policy and procedures. An ideal sedation regimen would provide patient comfort, cooperation, hemodynamic stability, amnesia and maintenance of a patent airway with spontaneous ventilation [5]. Propofol has become a preferred sedative because it offers advantages over benzodiazepines in terms of lack of accumulation, quick onset, easy adjustment, and fast recovery after discontinuation. It has sedative and hypnotic effects that mediate the GABA receptor but has no analgesic action. Adverse effects associated with propofol included pain on injection, hypotension, bradycardia, respiratory depression,

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and hypertriglyceridemia [6]. Ketamine is classified as an NMDA receptor antagonist and has also been found to bind to opioid receptors and sigma receptors. It induces a state referred to as "dissociative anesthesia" [7]. Ketofol was used for PSA and are physically compatible for 1 hr at 230C with no increase in particle content at Y site injection [8]. This combination can be mixed in the same syringe or administered independently in the two separate syringes. It can be administered as a bolus or as a continuous infusion for longer procedures [9]. The opposing hemodynamic and respiratory effects of each drug may enhance the utility of this drug combination, increasing both safety and efficacy and allowing reduction in the dose of propofol required to achieve sedation [10]. The combination of the two agents appears to reduce side effects of each medication used alone, and allows for a rapid recovery time [11]. Respiratory problems are the most common adverse events associated with propofol use. However, significant respiratory depression is unlikely at propofol bolus doses less than 0.7 mg/ kg [12], and minimal with ketamine doses less than 1 mg/kg [13]. Furthermore, the combination of propofol and ketamine may minimize the need for supplemental opioid analgesics and has the potential to provide better sedation with less toxicity than either drug alone [14]. Mortero et al. 2001 [15], suggested that small-dose ketamine increases thalamic sensory output and arousal and sedative effects of propofol may be partially antagonized by the arousal effects of ketamine. The level of sedation can be assessed clinically or with devices such as the bispectral index (BIS). While clinical estimation of sedation is difficult, the BIS is a processed electroencephalographic measurement that gives objective information about the depth of sedation and anesthesia [16].

## Aim of the Study

The purpose of this randomized double-blinded study was to compare the safety and efficacy of two different concentrations of ketofol on the intraoperative hemodynamic and respiratory parameters, BIS values and post-anesthesia recovery profiles in morbid obese patients undergoing upper GI endoscopy. The study hypothesis is that the safety and efficacy of performing sedation with ketofol concentration of (1:4) in morbid obese patients is identical to ketofol concentration of (1:2) with the advantage of less postoperative ketamine side effects.

## **Subjects and Methods**

This study included 100 morbid obese adult subjects, scheduled for upper GI endoscopy performed under topical anesthesia with intravenous sedation, during the period from October, 2015 to July, 2016. Patients selected were classified as American Society of Anesthesiologists (ASA) physical status I and II, aged from 18 to 50 years with a Glasgow Coma Scale score 15. Patients were randomly allocated into two groups, group I (k/p1/2), no = 50, and group II (k/p1/4), no = 50, after receiving ethics committee approval and informed consent from patients. All procedures were performed by the same physician.

#### **Exclusion criteria**

ASA more than II, pregnant women, patients with drug abuse or had allergy to egg, hypersensitivity to ketamine or propofol, those who had severe bradycardia or any type of atrioventricular block, heart failure or refused to participate in the study.

In the operating room, patients were breathing spontaneously. Nasal oxygen (3 L/min) was administered and intravenous access was established. Standard monitoring (Infinity Delta Monitor, Drager Medical System En, USA) was used. Heart rate (HR) via ECG, non invasive mean arterial pressure (MAP), pulse oximetry (SpO2), were attached. During the procedure, the BIS Sensor (A-2000XP™, Aspect Medical System) was used to assess the level of sedation. The BIS values, hemodynamics and respiratory parameters (HR, MAP and Spo2) were continuously monitored and recorded at the baseline and then every 5 min thereafter during the procedure and in the post anesthesia care unit (PACU). The baseline measurements were obtained just before the administration of the study drugs. Then, these parameters were compared between the two groups at 5 time points; before induction (at baseline), after induction of sedation (after induction), 5 minutes after induction (during procedure), after 15 minutes from admission to post anesthesia care unit (at PACU), and lastly post-procedure at 90 min after the original baseline measurement where postoperative visit was undertaken (at 90 min). The primary outcome was emergence reactions following the procedure. Secondary outcomes included hemodynamics, respiratory profiles, sedation, analgesia, side effects, supplemental propofol and patient as well as endoscopist satisfaction.

All patients were premedicated with ondansetron 0.1 mg/kg, and glycopyrrolate 4 mcg/kg intravenously before induction. Midazolam 0.02 mg/kg was given as premedication. Fentanyl 0.5 mcg/kg was given IV to patients in both groups before the start of endoscopy together with lidocaine local anesthetic spray and ointment. The upper GI endoscope was commenced after 30 seconds from injection of ketofol. Patients in the group I received bolus dose of ketamine 0.5 mg/kg + propofol 1 mg/ kg (k/p 1/2), and group II received ketamine 0.25 mg/kg + propofol 1 mg/kg (k/p 1/4) intravenously in separate syringes (separate syringe strategy). Incremental doses, half the initial dose of propofol (0.5 mg/kg) were given to get the desired depth of sedation, modified by aiming at BIS values between 70-80 [17], and when the sedation was judged as inadequate by the endoscopist. A ketamine and propofol dose was prepared by an assistant who was not involved in the clinical management of the study patients.

The patients in the PACU were assessed with the fasttrack score. Total score of 14, with minimal score of 12 would be required, with no score below 1 in any individual category [18]. Each patient was informed about how to measure pain intensity on a VAS pain score subjectively using a VAS ruler 0-10, with 0 representing "no pain" and 10 "the worst pain" imaginable. During postoperative visit, satisfaction score

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was assessed and any adverse events were recorded as pain, nausea, vomiting, shivering, emergence reactions (agitation or hallucination), visual disturbances, myoclonus, seizure, rash, any hypoxic episode or apnea (desaturation was defined as a 10% decrease in peripheral oxygen saturation when compared to baseline, and apnea was defined as cessation of respiration for 15 seconds or more.), any episodes of hypotension (decrease in MAP > 30% of baseline) or bradycardia (decrease in HR > 30% of the initial rate or HR < 55/min). Surgeons and patients were asked to grade their overall satisfaction with sedation technique using a 3 point scale [poor (1), fair (2), and good (3)]. The patients were discharged home with Aldrete's scores greater than 9 [19].

#### **Statistical analysis**

The collected data were statistically analyzed using SPSS version 16.0 (SPSS Inc., USA) for Windows (Microsoft Co, USA). Data were expressed as mean values  $\pm$  SD or as number and percentages. Fisher's exact, Student's t and  $\chi$ 2 tests were used for comparison of the quantitative and qualitative values of the two groups. P < 0.05 was considered statistically significant.

#### Results

This randomized double-blinded study was conducted during a 10-month period in which 100 morbid obese patients had PSA with ketofol. All patients underwent their planned upper GI endoscopy and received their allocated study drug.

The general characteristics of studied cases and operative data were represented in Table 1. This Table shows that, there was no significant difference between group I (k/p 1/2) and group II (k/p 1/4) as regard to demographic data (age, gender and BMI) and procedure duration. However, discharge time from PACU was prolonged in group I (Mean±SD = 38.34±4.28) compared to group II (Mean $\pm$ SD = 33.11 $\pm$ 4.89), p = 0.0001. The number of patients requiring propofol top-up doses was 20 (40%) in group I compared to 35 (70%) in group II (the low-dose ketamine group), p = 0.004. There was a significant difference in the mean propofol top-up dose between the two groups (30±15 mg in group I and 41±13 mg in group II, p = 0.0002) as shown in Table 1. Heart rate increased after induction of sedation in both groups. The difference between the groups was statistically insignificant (p = 0.267). The change was least in group II (due to low ketamine dose), but no patient had severe tachycardia requiring treatment in both groups. There was a minimal decrease in MAP from baseline in both groups following the initial dose of ketofol. Heart rate and MAP decreased during procedure in group II compared to group I but this decrease is considered to be not quite statistically significant (as p was 0.077 and 0.076 respectively). No significant differences in SpO2 between the two groups (Table 2, Figure 1-3). No cases needed manual ventilation or artificial airway. Overall, cardiovascular and respiratory adverse events were not significantly different between the two groups. These adverse events were transient and easily treated with no sequelae. There were no significant differences between both groups as regard to BIS values and VAS pain score (Table 3). Table (4) shows that there is no significant difference between the two groups regarding patient as well as surgeon satisfaction. Side effects are listed in (Table 5, Figure

4). The most common side effect was visual disturbances. No difference in the occurrence of PONV between the two groups. Agitation on recovery, although not statistically significant (p value = 0.111); was more commonly reported with group I

Table 1: Demographic and operative data. Data expressed as Mean (±SD) or number
(percent).

Characteristics	Group I	Group II	P value		
Age (ys)	30.35±7.33	32.17±7.56	0.224(NS)		
Gender (m/f)	24/26	22/ 28	0.841(NS)		
BMI (kg/m2)	39.44±2.34	38.78±3.23	0.244(NS)		
Procedure duration (min)	19.38±3.13	20.11±2.56	0.204(NS)		
Discharge time from PACU (min)	38.34±4.28	33.11±4.89	0.0001		
Patients requiring top-up doses (No)	20 (40%)	35 (70%)	0.0046		
Mean propofol top-up dose (mg)	30±15	41±13	0.0002		
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BMI = Body Mass Index. PACU = Post Anesthesia Care Unit.

Characteristics		Group I		Group II		P value
		Mean	SD	Mean	SD	P value
	At baseline	74.89	4.69	75.00	4.12	0.901(NS)
	After induction	85.02	5.58	83.89	4.49	0.267(NS)
HR	during procedure	73.04	4.34	71.54	4.07	0.077*
	At PACU	76.00	2.39	74.97	3.83	0.109(NS)
	AT 90 min	73.02	2.36	72.78	2.97	0.655(NS)
	At baseline	105.02	5.70	103.96	6.21	0.376(NS)
	After induction	100.76	44.54	98.95	55.64	0.857(NS)
MAP	During procedure	98.06	6.11	96.01	5.32	0.076*
	At PACU	106.04	6.15	104.77	5.37	0.274(NS)
	At 90 min	104.55	5.18	105.76	5.39	0.255(NS)
	At baseline	97.35	2.42	97.81	2.16	0.318(NS)
	After induction	97.10	289	96.88	2.96	0.707(NS)
SpO <sub>2</sub>	During procedure	97.76	2.12	97.58	2.32	0.686(NS)
2	At PACU	98.22	1.79	98.01	1.88	0.568(NS)
	At 90 min	98.12	1.95	98.00	1.81	0.750(NS)

HR = Heart Rate. MAP = Mean Arterial Pressure.Spo2 = Oxygen Saturation By Pulse Oximetry. \* = P Value Is Not Quite Statistically Different.

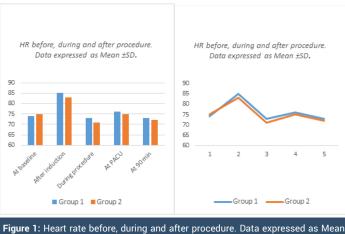


Figure 1: Heart rate before, during and after procedure. Data expressed as Mean ±SD.

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(6 patients, two of them treated with midazolam 0.02 mg/kg intravenously with prompt resolution of the event) compared to the low-dose ketamine group (group II) in which only one patient developed recovery agitation and needs no treatment). A two patients (4%) in group I and no patient (0%) in group II experienced bad dreams and hallucinations. Side effects such as myoclonus, seizure and rash were not observed in any patient.

## Discussion

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Our study compared the safety and efficacy of two different ketofol (k/p 1/2 and k/p 1/4) concentrations given for upper GI endoscopy in morbid obese patients. It was intended to

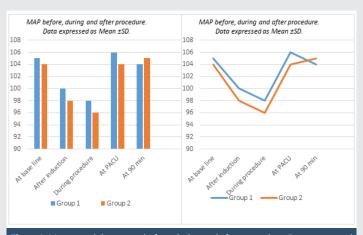


Figure 2: Mean arterial pressure before, during and after procedure. Data expressed as Mean  $\pm$ SD.

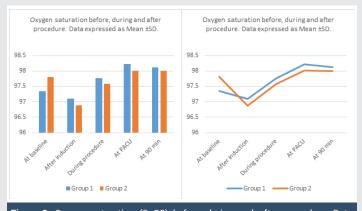


Figure 3: Oxygen saturation (SpO2) before, during and after procedure. Data expressed as Mean  $\pm \text{SD}.$ 

Table 3: BIS values and VAS pain score in studied groups. Data expressed as Mean

Characteristics		Group		Group II		
		Mean	SD	Mean	SD	P value
	At baseline	98.01	1.52	98.14	1.44	0.661(NS)
BIS	After induction	84.00	3.67	82.78	3.97	0.113(NS)
	During procedure	81.72	4.65	80.69	3.88	0.232(NS)
VAS pain	At PACU	3.17	1.22	3.54	1.27	0.140(NS)
	At 90 min	3.87	1.65	3.94	1.59	0.829(NS

BIS = Bispectral Index. VAS Pain = Visual Analogue Scale Pain Score.

Table 4: Patient and surgeon satisfaction. Data expressed as number (percent).

Characteristis	Group	Group II	P value
Patient Satisfaction	Good (n) 40(80%)	43(86%)	0.785(NS)
	Fair (n) 8(16%)	6(12%)	0.774(NS)
	Poor (n) 2(4%)	1(2%)	1.000(NS)
	Good (n) 45(90%)	42(84%)	0.553(NS)
Surgeon	Fair (n) 5(10%)	7(14%)	0.759(NS)
satisfaction	Poor (n) 0(0%)	1(2%)	1.000(NS)

#### Table 5: Postoperative adverse events. Data are presented as number (percent).

Characteristics	Group I	Group II	P value
Recovery agitation, (n)	6 (12%)	1 (2%)	0.111(NS)
Hallucination, (n)	2 (4%)	0 (0%)	0.494(NS)
Nystagmus, (n)	19(38%)	11(22%)	0.125(NS)
Postoperative nausea, (n)	2 (4%)	2 (4%)	1.000(NS)
Postoperative vomiting, (n)	1(2%)	1(2%)	1.000(NS)

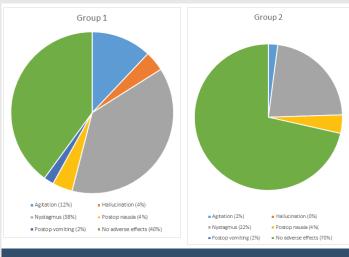


Figure 4: Postoperative adverse events. Data are presented as number (percent).

generate the hypothesis that the combination of ketamine and propofol was safe and effective for PSA in morbid obese patients. Our results demonstrated that, the low-dose ketamine with propofol appear to be safe and effective, preserved the hemodynamic and respiratory parameters without prolonging recovery or increasing the incidence of adverse events. The most common side effect was visual disturbances. Agitation on recovery was less commonly reported with the low-dose ketamine group (group II).

Several studies had been published and demonstrated that the combination of ketamine and propofol for sedation is safe and effective. Consistent with our results, Ayatollahi et al. [20], conducted a study on 100 patients who underwent closed reduction of nose. The patients were divided into 2 groups of 50, and received either a combination of ketamine/propofol (1:1) or ketamine/propofol (1:3). There was a reduction in hallucination, vomiting, and recovery duration in the group that received lower concentration of ketamine.

Miner et al. [21], performed a randomized, double-blinded trial in which two hundred seventy-one adults in emergency

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department undergoing deep sedation received propofol, ketamine and propofol 1:1, or ketamine and propofol 1:4. They found a similar frequency of airway and respiratory adverse events leading to intervention between propofol alone and either 1:1 or 1:4 ketofol.

Coulter et al. [22], evaluated the ketofol in the different ratios for procedural sedation in the healthy pediatric patients. They suggested that a 1:3 ratio of ketamine and propofol was the best combination for the intermittent dosing. Furthermore, the mixing ratio greater than 1:3 resulted in prolonged recovery.

Dal et al. [23], compared the effectiveness and safety of the ketofol and the combination of ketamine and midazolam for procedural sedation in the endobronchial ultrasound-guided needle aspiration in 60 adult patients. The result of their study demonstrated that HR in the 10th min and Ramsay Sedation Score in the 35th min in group KP were significantly lower than in group KM. Additionally, the recovery time in group KP was significantly shorter than group KM. However, there were no significant differences in the oxygen saturation, RSS value and the severity of cough as well as the satisfaction of physician and the patients between the two groups. The authors concluded that ketofol was effective and safe for sedation in the endobronchial ultrasound-guided needle aspiration procedure. No serious adverse events were observed.

Ghadami et al. [24], compared the quality of sedation and side effects of two different ratios of ketofol in 60 pediatric patients under lumbar puncture or bone marrow aspiration. They divided the patients into 1:2 and 1:3 ratios of ketofol. The results confirmed that the 1:3 ratio of ketofol had lower psychological side effects and shorter recovery time than the 1:2 ratio of ketofol. However, the quality of sedation, the total dose of drug, respiratory profiles and hemodynamic parameters were comparable in both groups. They observed that 1:3 ratio was better than 1:2, because it had a shorter recovery time, and total drug usage was reduced in this group. Incidence of hallucination and nausea were lower, although were not statistically significant.

Wang et al. [25], investigated the propofol-ketamine mixtures in the ratios of 2:1, 3:1 and 4:1 compared with the combination of propofol and fentanyl as well as the Propofol alone. The study demonstrated that ketofol was safe and effective as the combination of propofol and fentanyl combination. The ratios of 2:1, 3:1 and 4:1 were very effective for the procedure. The efficacy of sedation, recovery and discharge time in the ratios of 3:1 and 4:1 mixtures of ketofol presented comparable. Additionally, the incidence of respiratory depression and postprocedural dizziness in the ratio of 4:1 (40 mg of ketamine and 160 mg of propofol in a 20 mL syringe) was a relatively lower than in the other ratios of ketofol.

Amornyotin et al. [26], compared and evaluated the clinical efficacy of the ketofol and propofol alone when each regimen is used as sedative agents for endoscopic procedures. There were no significant differences in patient tolerance, discomfort during insertion, patient and endoscopist satisfaction, hemodynamic responses, procedural pain, recovery time and recovery score. Overall, cardiovascular and respiratory adverse events were not significantly different between the two groups.

Kayhan et al. [27], evaluated the effect of a ketaminepropofol combination (ketofol) for electroconvulsive therapy (ECT) on seizure activity, hemodynamic response and recovery parameters compared to propofol alone. The seizure durations in both groups were similar. The heart rate and MAP in the propofol group were lower than in the ketofol group. Time to obeying commands was longer in the ketofol group. The undesirable psychological reactions were none in the ketofol group.

Hashemi et al. [28], performed study using a mixture of the 1:1 compared to 1:2 ketamine/propofol combination for bone marrow aspiration and lumbar puncture in children. Nausea, hallucination and recovery time were more in 1:1 ratio.

Phillips et al. [29], compared ketamine and propofol with propofol alone in 28 patients underwent procedural sedation in the emergency department. The intravenous ketamine doses ranged from 0.5 to 1 mg/kg, with propofol 0.75 mg/kg. The comparator intravenous propofol doses ranged from 0.5 to 1.5 mg/kg. The primary outcome was adequacy of sedation measured using the bispectral index scale. The propofolketamine group presented less of a difference in BIS between baseline and goal sedation. The authors recommended that adequate sedation with the combination of propofol and ketamine was completed without the need for deep sedation compared with the propofol alone. Safety outcomes revealed significantly less reduction in blood pressure in the propofolketamine group. Neither group experienced respiratory depression or a significant difference in length of sedation.

Willman and Andolfatto, [4] published a study of 114 patients requiring PSA mainly for orthopedic procedures were given a 1:1 mixture of propofol and ketamine and they concluded that "Ketofol procedural sedation and analgesia is effective and appears to be safe for painful procedures in the ED. Few adverse events occurred and were either self-limited or responded to minimal interventions. Patients and staff were highly satisfied and recoveries were rapid".

Akin et al. [30], published a trial on 60 patients between one month and 13 years of age undergoing cardiac catheterization who received sedation with propofol or propofol plus ketamine (3:1). They found a significant decrease in MAP in 11 patients in the propofol monotherapy group and three patients in the ketofol group. They concluded that the addition of low-dose ketamine to propofol preserved MAP and reduced the risk of respiratory depression, without prolonging recovery or increasing the incidence of adverse events.

The same authors, Akin and colleagues [31,] in a trial of 40 adult patients undergoing endometrial biopsy, reported that the combination of propofol 1 mg/kg plus fentanyl 1 mcg/kg was compared to the combination of propofol plus ketamine 2:1. Time to recovery was similar. However time to discharge was longer in the ketofol group secondary to the increased presence of adverse events including nausea, vertigo, and visual disturbances. These authors concluded that although both regimens seem safe, ketofol 2:1 had more adverse events leading to a longer time until discharge and had a lower overall patient satisfaction.

Goh et al. [32], published a 90 patients having a laryngeal mask airway (LMA) placed received propofol with either ketofol 1:5, fentanyl 1 mcg/kg, or placebo normal saline. They found the ketofol group had a significantly higher systolic blood pressure than the other two groups. They concluded that ketofol provided equivalent LMA insertion conditions while maximizing hemodynamics and minimizing apnea.

Furuya et al. [33], suggested that the minimal change observed in arterial pressure may be dose related and also because sympathomimetic actions of ketamine were effective in counteracting the hemodynamic depression of propofol. The heart rate increased after induction in all the groups, but there was no occurrence of profound tachycardia in any group.

Badrinath et al. [34], published 100 female outpatients undergoing breast biopsy procedures under local anesthesia received an infusion of a solution containing propofol in combination with different doses of ketamine. The sedative infusion rate was varied to maintain a deep level of sedation and normal respiratory and hemodynamic functions. They reported that the concentration of ketamine/propofol 1:5 provides effective sedation and analgesia during monitored anesthesia care. The overall incidence of clinically significant psychotomimetic effects was small (8–16%), and occurred predominantly in the large dose ketamine group.

Friedberg [35], in a prospective study of 1,264 patients undergoing procedural sedation and analgesia for surgical procedures with ketamine and propofol, concluded that this combination was safe and effective.

#### Limitations

- 1. Our reliance on oxygen saturation instead of capnography may have led to underreporting of respiratory depression.
- 2. Although this study did not directly compare the study drugs with other known PSA regimens, we believe that the ketamine/propofol combination could be superior to opioids and benzodiazepines for PSA in morbid obese patients.
- 3. A larger sample volume might have changed those results that did not reach statistical significance.

## Conclusion

Both concentrations of ketofol (1:2) and (1:4) are safe and useful techniques for procedural sedation and analgesia in morbid obese patients. The low-dose ketamine combination (1:4) minimizes the psychological side effects and shorten the time to discharge.

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