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Research Article

Temporomandibular Joint Movements during Rigid Bronchoscopy and Laryngoscopy under General Anesthesia and Pre-Post Intervention Comparisons

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Abstract

Recently rigid bronchoscopy with metal tubes has gained increasing importance, namely for interventional procedures. The objective was to examine condyle movement during rigid bronchoscopy under general anesthesia. A method to record the tracings was developed using the CADIAX III system (GAMMA AG, Klosterneuburg, Austria). The purpose of our efforts was to find out whether rigid bronchoscopy harms the temporomandibular joint. To this end, we recorded mandible movements before, during and after operation. We also conducted a brief comparison between bronchoscopy and laryngoscopy. The majority of Heidelberg Thoraxklinik patients have a past or present history of lung cancer and as such were under considerable mental stress; this extraordinary mental situation was a factor. Extreme movements were recorded, with motion probably limited by the ligaments. We found no evidence of harm to the temporomandibular joint system.

Introduction

Rigid bronchoscopy, introduced by G. Killian in 1898, prevailed until it became largely replaced by the flexible fiber bronchoscope introduced by S. Ikeda in 1966. In recent decades it experienced a renaissance as new interventional techniques require general anesthesia with safe ventilation and sufficient access for instrumentation. In contrast to intubation via laryngoscopy where the floor of the mouth is lifted by inserting the scope above the epiglottis, the rigid bronchoscope is introduced by lifting the base of the tongue and the epiglottis with the scope and entering the larynx (Figure 1). In both procedures the temporomandibular joint undergoes a considerable passive rotational and translational forward movement.

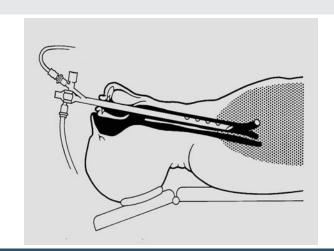


Figure 1: Schematic situation of the rigid bronchoscope in situ inside the trachea.

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The temporomandibular joint (TMJ) is unique in the human musculoskeletal system and different in its phylogenetic origin. Quadratum and mandibulare (Meckel's cartilage) derive from the first visceral arch. Between them the primary TMJ is positioned, which is converted into the hammer-anviljoint. The bigger, frontal part of Meckel's cartilage delivers the mandible. Between mandible and skull a new joint is formed. This secondary joint is to be found in mammals only.

Anatomy and physiology of the temporomandibular joint

The short and pertinent definition of the temporomandibular joint presented by the Viennese anatomist Harry Sicher still applies: The temporomandibular joint is a synovial gliding joint with a flexible socket [1]. The bony parts are the fossa and eminentia articulare on the temporal bone and the caput mandibulae (Figure 2). These bony parts are completed by the articular disc located on top of the caput mandibulae and the extensive articular capsule surrounding the system. The articular disk separates an upper from a lower compartment. Clearly distinguishable ligamentary structures are embedded in the capsule which form rational boundaries of terminal positions [2]. The activity of the temporomandibular muscles is required for guiding and positioning the joint. This function was demonstrated inter alia in 1973 by the "group of four" led by R. Slavicek. Infusion of curare to conscious subjects caused a slackening and downward motion of the mandible [3].

Temporomandibular joint and anesthesia

A free range of movement of the temporomandibular joint is a basic requirement for intubation by laryngoscope and rigid bronchoscope tube. At the same time, the intubation process or insertion of the bronchoscope may harbor a hidden risk. Wide opening of the mouth starts with rotation, followed by protrusion (Figure 2). It is hard for the operator to identify when the patient's joint has reached a rotational or translational borderline position and when a risk of imposing excessive strain on soft tissue structures begins. According to Piehslinger, et al. the angle of maximum rotation is 7.15° in the reference position and 35° with the patient's mouth wide open (highest reading) [4]. From a dental surgery point of view, it is essential that the anesthetist should establish the range of mandibular movement when examining a patient by assessing the visibility of the palate and uvula (Malampati score). This at least gives him or her a rough idea whether any restrictions are present [5,6]. There are very many possible reasons for an inability to open the mouth to its fullest extent, but a description would be beyond the scope of this study.

Method for Measuring the Movements of the Temporomandibular Joint

Mechanisms to record lower jaw movement meanwhile are common in dentistry. Before the introduction of electronic registration systems, mechanical devices were long in use. However, as the motion oft he condyles is hardly more than 10mm, for interpretation one needs an 8x magnifying glass. With the Helkimo-Index the maximum opening can be

approximately assessed by the distance of the cuting edges of the teeth. However measuring of lateral movements and protrusion can not be precisely measured, bacause this and other methods ar static. Electronic movement registration is user independent and creates an objective storable image.

The CADIAX III system (GAMMA AG, Klosterneuburg, Austria) allows the user to present translation and rotation separately and in temporal progression. To establish the basic feasability of the project, a preliminary test was performed in a dummy (Figure 3,4).



Figure 2: Anatomy and physiology of the temporomandibular joint.

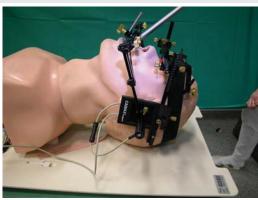


Figure 3: Components of the CADIAX III system:

- Upper arch, fixed with ear olives, nose support and elastic band around head, with electronic pads, on which the movements of the mandible are recorded.
- 2. A mandible clutch, fixed on the lower teeth
- 3. Lower arch, parallel to the upper arch and fixed to the clutch
- The styli fixed by arms to the lower arch. They record the movement
 of the mandible on the electronic pads in translational and transversal
 direction (insert)

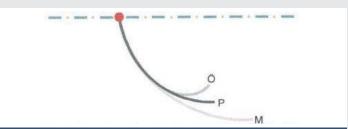


Figure 4: Normal curve.

Ö: shows opening

P: protrusion (movement along eminentia articularis)

M: movement of the "working" condyle to opposite side.

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- 1. Upper arch, fixed with ear olives, nose support and elastic band around head, with electronic pads, on which the movements of the mandible are recorded
- 2. A mandible clutch, fixed on the lower teeth
- Lower arch, parallel to the upper arch and fixed to the clutch
- 4. The styli fixed by arms to the lower arch. They record the movement of the mandible on the electronic pads in translational and transversal direction (insert)

An uncompromised function curve of the temporomandibular joint shows concavity, is long enough, excursion and incursion are identical, both sides are equal and there is no side shift.

In an anesthesized and relaxated patient it is possible that by laryngoscopic intubation or insertion of a rigid bronchoscope passive stretching of the capsule and ligaments may cause serious damage to the joint. After stretching of the ligaments, in extreme movements even luxation may occur. The aim of the study was to investigate, whether rigid bronchoscopy and laryngoscopy are causing extreme excursions of the joint and may lead to persisting trauma Table 1.

Table 1: Patient characteristics

Patient	Gender	Age	Diagnosis
No. 01	m	62y	bronchial carcinoma
No. 02	m	44y	squamous cell carcinoma
No. 03	m	56y	obstructive pulmonary disase
No. 04	f	65y	esophagotracheal fistula
No. 05	m	55y	neoplasm right main bronchus
No. 06	m	74y	lung cancer
No. 07	m	55y	unspecified pleuritis
No. 08	f	55y	lung resection after bronchial carcinoma
No. 09	m	66y	bronchial carcinoma
No. 10	f	70y	irraditaion after breast cancer
No. 11	m	61y	mediastinal carcinoma
No. 12	m	62y	squamous cell carcinoma
No. 13	m	56y	carcinoid tumor
No. 14	m	57y	lung cancer
No. 15	m	70y	metastatic carcinoma of thymus

Answers were sought to the following questions:

- What movements take place in the temporomandibular system during rigid bronchoscopy?
- Are these movements within physiologically normal limits?
- Is there a correlation between the anesthetic process (anesthesia record, degree of relaxation) and the curves traced?
- What is the ratio of translational to rotational components?

- Does a before and after comparison suggest that irritation or trauma has occurred?

Patients and Procedures

Fifteen patients took part in the study. We selected patients over 18y from the Thoraxklinik who gave their informed consent. Patients were eligible who understood the procedure and had sufficient dentition in their lower jaw to allow a paraocclusal clutch to be put in place. A paraocclusal clutch is an adjustable metal tray with a synthetic matrix that is affixed laterally to the teeth of the lower jaw such that the upper and lower teeth almost touch, whilst at the same time allowing lower jaw movement to be plotted on a recording system. No other inclusion or exclusion criteria were implemented. After clinical examination, especially with regard to the denture, a first recording was performed on the awake patient in the preparation room. After transfer to the bronchoscopy suite and induction of general anesthesia, preoxygenation was performed via nasal mask with the lower arch elevated (Figure 5). After sufficient ventilation the lower arch was attached to the dental clutch and the bronchoscope was introduced (Figure 6). This was not always easy via the midline due to the volume of the CADIAX III system or due to limitations of the mouth opening. Then, the bronchoscope was introduced via the side of the mouth and sometimes it needed several attempts to lift the epiglottis and enter the larynx. After conclusion of the bronchoscopy the patient was transferred to the recovery room

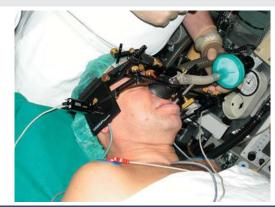


Figure 5: Preoxygenation with the lower arch elevated for better access for the nasal mask



Figure 6: The bronchoscope is inserted via the right angle of the mouth and the lower angle of the CADIAX III is fixed to the dental clutch. Oxygenation is performed by high frequency jet-ventilation via the bronchoscope.

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and after fully awakening a repeat recording was performed. Pre-, intra- and post-procedural recordings were analyzed and compared.

Results

Comparison of condylography tracings before and after bronchoscopy was possible in 12 cases. The condyle trajectories during the bronchoscopy we observed were extremely wide in some instances. However, it is important to note that there were no signs of dislocation or overextension at follow-up in any patient. Review of the data showed that four of the bronchoscopy tracings describe a curve that approximates condylar movement along the eminence. The rotation component exceeds 50% in all these cases. Almost all the curves traced during bronchoscopy are within ranges that might be seen in association with continued conscious action. In contrast, the two laryngoscopy cases produced extreme trajectories with a significantly greater translation component. It is possible that the width of the joint capsule imposes limits on condylar excursion. Nine of 12 comparable cases show post-intervention curves which are definitely more physiological than the pre-intervention tracings. The frequency of the phenomena is striking but any interpretation could only be speculative. For statistical analysis the number is too low. Neither in bronchoscopy nor in Laryngoscopy we detected resultinge damages of the joint. The smoothening of the condylography curves may be due to anesthesia, which temporarily eradicates trained avoiding effects.

Pardigmatic recording samples

Case 3: R. K.-J. (*March 3, 1948)

D: Male patient looking older than stated age, ill and poorly nourished appearance, diabetes, obstructive pulmonary disease

prior cases. The anesthesia record gives no indication of unusual events in the waking phase Graphs 1-3.

Case 5: N.D. (*October 12, 1949)

D: Unspecified neoplasm in the right main bronchus. Normal overall appearance, slightly reduced nutritional condition Graphs 4-7.

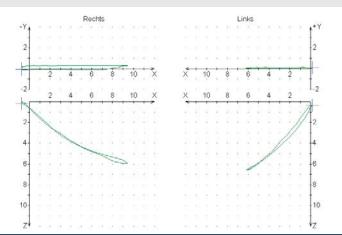
In the next case the curves were traced during laryngoscopy. The purpose of this experiment was to identify any differences between bronchoscopy and laryngoscopy. Therefore, no before-after comparison was attempted. For laryngoscopy, the anesthetist advances the instrument to the base of the tongue and raises the floor of the mouth to allow inspection of the larynx. Accordingly, the condylar trajectories produced are likely to be different from those seen in association with bronchoscopy. The condylography equipment was set up in the following cases in a manner identical to that already described.

Case 15: K.F. (*March 19, 1934)

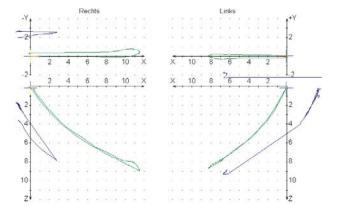
D: Metastatic carcinoma of the thymus with multiple obstruction of the bronchial tree. Acute dyspnea Graphs 8-10.

Discussion

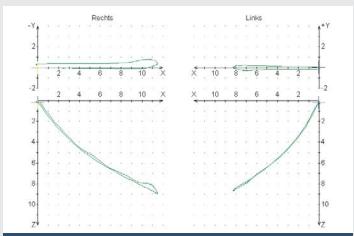
Statistical analysis needs to produce results that can be generalized. To this end levels of statistical significance need to



Graph 1: Baseline condylography session: long enough tracings, slightly concave to almost straight, non-contiguous trajectory, different gradients, minor side shift. The upper curves show the position of the condyles in resting position.

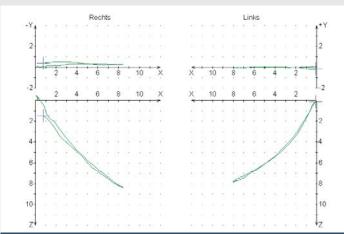


Graph 2: Bronchoscopy: Under the effect of muscle relaxation, the right condyle moves approximately 2 mm forward and the left condyle moves 2.5 mm backward (upper curves). Highly asymmetrical tracings are produced when the bronchoscope is inserted. The left condyle stops in an anteroinferior position, the right condyle returns to a position below the excursion. The mandible displays consistent displacement to the left.

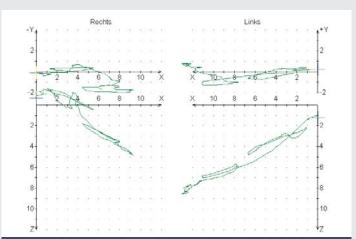


Graph 3: Condylography session after endoscopy: The curves are longer than for the first session. There is greater concavity and the left curve is almost identical in trajectory.

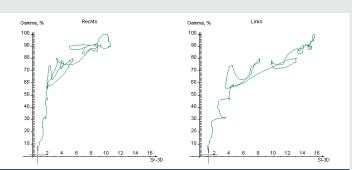
be defined prior to initiating a study. That was not the intention of the study described in this paper, and in any case would not have been possible in such a small patient population. It made sense, nevertheless, to conduct a case comparison, as the identification of a trend may provide a basis for future statistical analysis in a larger study. The variation of tracings that we found in our patients is very wide compared to normal mandibular movements. The movements traced during rigid



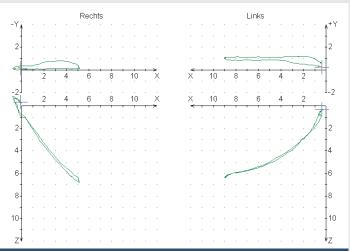
Graph 4: Baseline condylography session: Curves long enough, tending to straight lines on the right, non-contiguous trajectories and variable characteristics. Left: concave trajectory with minimal convexity near the axis. Trajectories more similar versus the right.



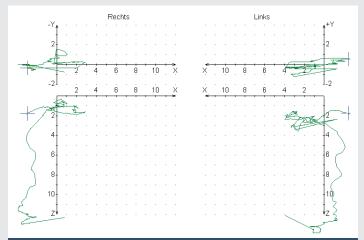
Graph 5: Bronchoscopy: Tracing starts 1 mm above the arbitrary axis on the right and 1 mm below the arbitrary axis on the left, and proceeds bilaterally to and fro with multiple changes of direction during insertion of the bronchoscope into the larynx, finally coming to rest in an open position with the bronchoscope in residence.



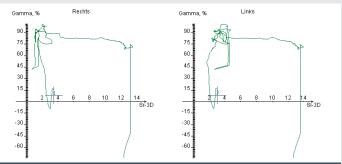
Graph 6: The rotational component is extremely pronounced again in this case, with a definite peak at the start. By the end of the tracing, the translational component is approximately equal to the rotational.



Graph 7: Condylography session after endoscopy: The tracings from the right and left are similar to the baseline tracing. The trajectories are much shorter than with the prior cases. The anesthesia record gives no indication of unusual events in the waking phase.

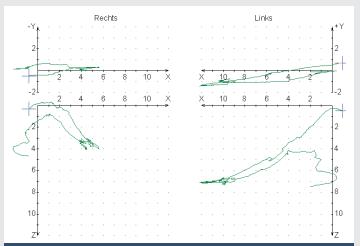


Graph 8: Laryngoscopy: Both condyles initially move back and forth irregularly in a sagittal (x-) direction and then sag far back in an inferior (z) direction. There is no return movement as the laryngoscope was held in a raised position at the end of the condylography procedure.



Graph 9: The brief rotation initially visible in the translation-rotation view probably correlates with passive opening of the oral aperture. This brief rotation is followed by a virtually linear translation.

bronchoscopy and laryngoscopy are passive as they are induced by the instrumentation under relaxation. In bronchoscopy only the base of the tounge is lifted, whereas in laryngoscopy the whole floor of the mouth is elevated. Thus, the capsule of the joint is not controlled by any muscular activity. Rigid bronchoscopy is associated with a definite potential risk of



Graph 10: The pattern suggests a lack of contact between condyles and eminence. The tracing during subsequent repositioning of the laryngoscope registers a return movement because the laryngoscope was removed after insertion of the tracheal tube. The extreme excursions are striking.

injury to the temporomandibular joint, but in our study, we found no persistant effect. In contrast the majority of patients show post-intervention curves which are definitely more physiological than the pre-intervention tracings. A lasting relaxation effect of the drugs used could be excluded based on pharmacokinetics and half-lives. The frequency of the phenomenon is striking. As mentioned above, most patients were under psychologial tension before bronchoscopy, either because of the intervention itself or of fearing the results. It is well known that such stresss can have significant effects on the mastication system ("clenching one's teeth") Probably the relief of anxiety afterwards and some lingering anxiolytic action had an effect. In order to minimize the risks for the temporomandibular joint in rigid bronchoscopy, it is absolutely crucial to ensure that the patient has achieved a deep enough degree of anesthesia. In addition, the bronchoscopist must have sufficient skills and acquired enough technical expertise in performing the procedure. If these criteria are met, iatrogenic damage of the temporomandibular joint in association with bronchoscopy is highly unlikely.

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