

Gustavo Moreira Amorim^{1,2,3*}, Danielle Carvalho Quintella¹, João Paulo Niemeyer Corbellini¹, Luiz Claudio Ferreira⁴, Marcia Ramos-e-Silva^{1,2} and Tullia Cuzzi^{1,2,4}

¹Federal University of Rio de Janeiro (UFRJ). Dermatology and Pathology Departments, Brazil

²Pathology Post-Graduation Program – Faculty of Medicine of Federal University of Rio de Janeiro (UFRJ), Brazil

³Faculty of Medicine of South Santa Catarina University (UNISUL), Brazil

⁴Evandro Chagas National Institute of Infectology – Oswaldo Cruz Foundation (FIOCRUZ), Brazil

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*Corresponding author: Gustavo Moreira Amorim, MD, Msc. Address: 135 Duarte Schutel street, apartment 402, Downtown, Florianópolis, Santa Catarina, Brazil, Tel: +5548 999827097; ORCID: 0000-0001-6067-9463; E-mail: gustavomoreiraamorim@hotmail.com

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Research Article

Low PD-1 expression and no prognostic impact in early-stage Mycosis Fungoides: 61 patients retrospective cohort analysis

Abstract

Background: Mycosis fungoides (MF) is an indolent behavior cutaneous T-cell lymphoma. Most patients present a slowly progressive course, over many years. However, some patients evolve early towards advanced stages of the disease, despite adequate treatment, having therefore, a worse prognosis. Increasing knowledge of risk factors that contribute to a better prognostic evaluation is considered of interest.

Aims: Evaluate positivity and prognostic importance of PD-1 marker in early-stage MF.

Methods: Retrospective cohort study with early-stage MF patients. Cases were defined by Pimpinelli criteria. PD-1 positivity was tested in complementary immunohistochemistry over the first skin biopsies done in our hospital. Disease progression, death and MF-related death was investigated 5 years after the date of the diagnostic definition according to the proposed criteria. The hazard ratio was calculated as association measurement. Adopted significance criterion was 5%.

Results: A total of 61 patients were included. PD-1 was positive in only 7 (11.5%) of them. Disease progression, death and MF-related death occurred, respectively, in 26.2%; 13.1% and 6.6% of patients. There was no significant association between PD-1 positivity and the studied outcomes. Conclusions: PD-1 positivity in our sample of early-stage MF was low and therefore, such positivity could not be correlated to the observed outcomes, not having prognostic importance. The retrospective character of the study and its small sample are limitations of our research.

Introduction

Immune checkpoint inhibitors are of great interest for several oncology fields given the success of immunobiological therapies that antagonize immunological signaling pathways in different cancer types. PD-1/PD-L1 and PD-L2 stand out among these pathways, which remain under constant study.

The programmed-cell-death-1 receptor (PD-1) is expressed during thymic maturation in immature lymphocytes, in mature T and B-lymphocytes, in natural killer T-cells, in monocytes and in some dendritic cells [1]. The PD-1 pathway plays an important role in the regulation of cellular immunity. The interaction between PD-1 and its ligands (PD-L1 and PD-L2) causes T lymphocyte proliferation blockades, decreased pro-inflammatory cytokines production, reduced cytolytic function of immune cell responses and shorter T-lymphocytes survival. These alterations lead to T-cell activity reduction in peripheral

tissues presenting chronic inflammatory conditions, infections and even malignant neoplasms [1,2].

Mycosis fungoides (MF) is a low-grade malignant T-cell epidermotropic non-Hodgkin lymphoma that is limited to the skin at diagnosis time. It is the most prevalent form of primary cutaneous T-cell lymphoma (CTCL), since it accounts for 50% to 65% of cases. This lymphoma type can take chronic and indolent courses and allows 5-year global survival, on average, in 84.8% to 88% of the cases. This disease evolves slowly, although it has the potential to evolve to extracutaneous lymphoma in the long term [3-8].

In addition to hematological malignancies, PD-1 expression was investigated and correlated to worse prognosis in several solid-organ neoplasms [9]. PD-1 positivity values range from 13 to 60.2% in MF patients [9,10]. Ogurina *et al.*, suggested that PD-1 positivity could be of prognostic importance [11].

We investigated PD-1 positivity in immunohistochemistry assessments of skin fragments in order to study this topic. These skin fragments were collected from first biopsies of a retrospective cohort encompassing early-stage MF patients subjected to treatment and followed-up in the Photodermatology Sector of Clementino Fraga Filho University Hospital (HUCFF) of the Federal University of Rio de Janeiro (UFRJ).

Materials and Methods

It is an observational, longitudinal, retrospective cohort study with early-stage MF patients.

Inclusion criteria:

- Early-stage MF, defined by Pimpinelli et al., [12,13]. The aforementioned criteria were retrospectively applied based on the first biopsy examination performed at our institution. Four points at least were required (as proposed by the algorithm). We highlight that our institution doesn't have access to clonality T-cell receptor gene rearrangements examination. In this way, clinical, histopathological and immunohistochemical criteria (CD2, CD3, CD5 and CD7) were used.
- Adult patients, 18 years-old or more;
- TNMB staging IA or IB (skin limited disease) [11,12];
- Five-year follow up or more.

Exclusion criteria:

- Insufficient data on medical charts;
- Unavailable histopathological examinations for revision or insufficient material on paraffin blocks to perform immunohistochemical analysis;
- Positive serology to HTLV 1 and 2.

The evaluated dependent variables were:

- Disease progression (staging) treated in a qualitative, dichotomous and nominal manner. Those who progressed to stage IIA or more were categorized in the "disease progression" group.
- Death rates were treated in a qualitative, dichotomous and nominal manner.
- MF-related death caused by the lymphoma or by complications resulting from systemic therapies. This variable was also treated in a qualitative, dichotomous and nominal manner.

The assessed independent variables were gender, age at diagnosis, the presence of plaques, disease extension, CLIPi score, TNMB, Pautrier's microabscesses (PM), folliculotropism and lymphocytic atypia, as well as PD-1 expression.

Immunohistochemistry was applied on paraffin blocks

with skin fragments from the first biopsies performed in our hospital. We used PD-1 (NAT105) Mouse Monoclonal Antibody from Cell Marque, with a 1:100 dilution as proposed by the company (Cell Marque. Sierra College Boulevard, Rocklin, California. 95077. EUA). Two positive control tests for each sequence of reactions were done, using tonsil tissue, again, as suggested by Cell Marque. The percent of PD-1 neoplastic T cells were scored as minimal (<20%), moderate (20-49%) and high (>50%).

Data were gathered in printed and digitized Excel 2011 worksheets (Microsoft® Excel® for Mac 2011 / Version: 14.2.0) and analyzed in the SPSS statistical software, version 24.0. The chi-square test (X^2) or Fischer's exact test, were applied to investigate the association between qualitative independent variables.

Hazard ratios and their respective confidence intervals (CI: 95%) were calculated as association measurements. The significance criterion was 5%. Finally, *Multivariate Poisson* regression was performed to help identify independent predictors for the events.

The current study complies with the National Health Council Resolution 466/12; it is registered in *Plataforma Brasil* (Brazil Platform) and was approved by CEP-HUCFF/UFRJ - CAAE: 59235916.9.0000.5257.

Results

One hundred and thirty-five (135) patients were eligible for the study; however, 102 patients diagnosed with early-stage MF were included based on medical report's analysis.

Among the 33 excluded patients, 17 were due to insufficient data, 10 had a different diagnosis after revision (lymphomatoid papulosis and granulomatous slack skin lymphoma) and 6 had positive HTLV serology.

Seventy-eight of the 102 patients selected had their first histopathological examination available for adequate revision. Sixty-seven of the 78 selected had Paraffin blocks containing the biopsied tissue for subsequent immunohistochemical analysis of CD2, CD3, CD5 and CD7.

Of those 67 patients, 61 scored 4 points after retrospective application of Pimpinelli's criteria, therefore corresponding to the total sample [13].

Table 1 summarizes the clinical aspects of our sample.

PD-1 was positive in 7 of the 61 investigated patients (Table 2). Positive PD-1 control on tonsil tissue and 3 examples of PD-1 positivity expression on figures 1-4.

Table 3 shows the frequency of the studied dependent variables.

Out of the 16 patients (16/61; 26.2%) who presented staging progress, 25.0% advanced to IIA (they developed to N1); 12.5%, to IIB (they presented T3); and 50%, to IIIA (they presented T4). In regard to the 2 remaining patients, 1 progressed to stage IIIB (T4 + B1); and 1, to stage IVA (T4 + B2) [12].

Eight (8/61; 13.1%) patients died; among them, 4 had a death associated with MF. These 4 patients presented staging progress and were subjected to systemic treatment. All four died despite chemotherapy.

Comparisons between independent and dependent variables are shown in table 4.

There was no correlation between PD-1 positivity and the observed outcomes. Also, no statistically significant association was found in the multivariate regression test when all variables were studied together.

Discussion

PD-1 markers were assessed in immunohistochemistry examinations from a cohort encompassing 61 early-stage MF patients, based on the Pimpinelli's criteria. Positivity was evaluated and related to disease progression and death after a 5-year follow-up.

PD-1 positivity was low (11.5%). The percentage of marked lymphocytes was not expressive, even among positive cases.

Samimi *et al.*, investigated PD-1 positivity in peripheral blood T lymphocytes of Sézary syndrome (SS) and MF patients. They recorded higher PD-1 expression percentage in SS patients when compared to MF patients [14].

Wada *et al.*, had published a study on immunohistochemical investigations about PD-1 expression. They used a series of 26 MF and 11 SS biopsies from 37 patients and identified 40%

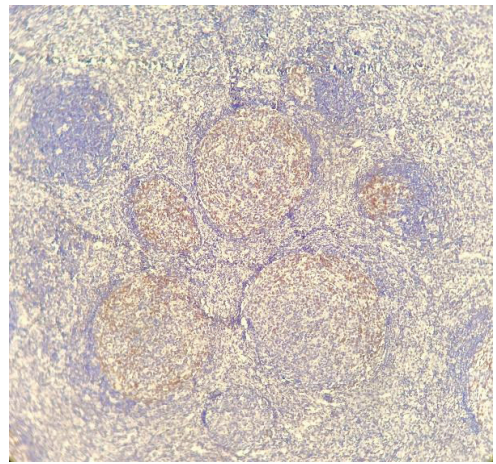


Figure 1: Immunohistochemistry. PD-1. 200x. Positive control - Tonsil Tissue.

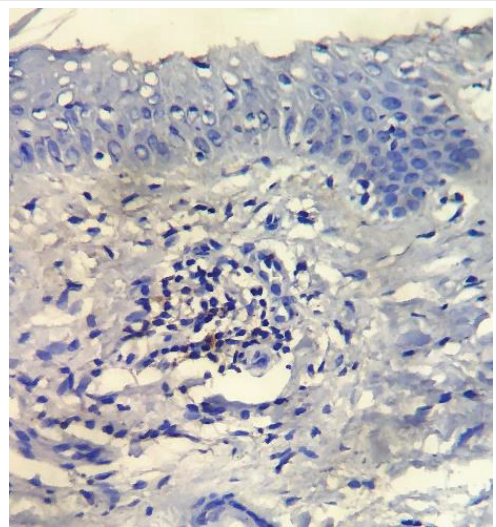


Figure 2: Immunohistochemistry. PD-1. 400x. Minimal positivity (<20%).

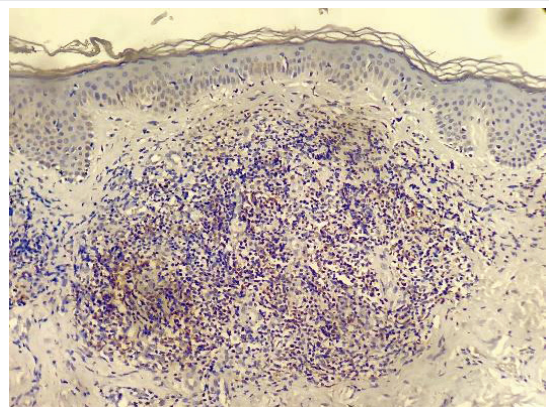


Figure 3: Immunohistochemistry. PD-1. 200x. Moderate positivity (20 - 49%).

Table 1: Clinical epidemiological profile.

| | | |
|-----------------------------|---|-------------------------|
| Gender: | Male: 49.2% Female: 50.8% | 30/61 31/61 |
| > 60 years at diagnosis | No: 55.7% 34/61 Yes: 44.3% 27/61 | |
| Age at diagnosis (years) | Mean: 53.46 (dp*: 16.4) Median: 57 Mode: 48** Range: 18 - 79 | |
| Skin lesion: | Patches: 36.1% Plaques: 63.9% | 22/61 39/61 |
| Affected body surface area: | < 10%: 47.5% 10 a 80%: 52.5% | 29/61 32/61 |
| CLIPi score: | Low risk: 44.3% Intermediary risk: 37.7% High risk: 18% | 27/61 23/61 11/61 |
| TNMB staging: | IA: 44.3% IB: 55.7% | 27/61 34/61 |

* dp: deviation pattern.

Table 2: PD-1 positivity.

| PD-1: | Positive: | 11.5% | 07/61 | |
|-------|-------------------------|-------|----------|-----|
| | Positivity percentage*: | | < 20% | 3/7 |
| | | | 20 - 49% | 2/7 |
| | | | > 50% | 2/7 |
| | Negative: | 88.5% | 54/61 | |

* Percentage of lymphocytes marked by PD-1 staining.

positivity among MF patients with patches e plaques, and 60% positivity in patients with tumor stage lesions. Their cutoff for a positive expression was at least 30% marked lymphocytes [15].

Also, Cetinozman *et al.*, had studied a sample with 27 SS and 60 MF cases to evaluate PD-1 positivity in

immunohistochemistry tests applied to previous cutaneous-biopsy materials. The positive test cutoff percentage was determined in 50% of the total infiltrate lymphoid cells. Eight-nine percent of SS patients and 13% of MF patients showed PD-1 positivity in their samples. Tumor stage and with erythrodermic MF recorded higher PD-1 positivity when compared to early-stage MF [10].

Kantekura *et al*, and Park *et al*. (2014) found 80% (12/15) -

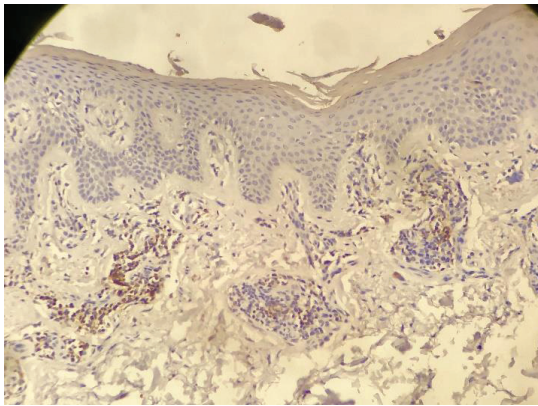


Figure 4: Immunohistochemistry. PD-1. 200x. High positivity (~50%).

Table 3: 5-year follow-up after diagnosis definition.

| Disease Progression: | 26.2% | Mean progression time (in years): 3.53 |
|----------------------|-------|--|
| Death: | | 13.1% |
| MF-related Death: | | 6.6% |

Table 4: Association tests between independent and dependent variables:

| | Results recorded for the variables: | | | | | | | | |
|----------------------------|-------------------------------------|-------|----------|--------|-------|----------|-------------------|-------|----------|
| | Disease Progression: | | | Death: | | | MF-related Death: | | |
| | HR* | P | CI** | HR | p | CI | HR | p | CI |
| Gender: Male | 1.722 | 0.215 | 0.7-4.1 | 1.722 | 0.473 | 0.4-6.5 | 1.033 | 1.0 | 0.1-6.8 |
| Age: > 60 | 1.087 | 0.770 | 0.7-1.4 | 1.210 | 0.123 | 0.9-1.5 | 1.016 | 1.0 | 0.8-1.1 |
| Lesions: Plaques | 1.295 | 0.132 | 0.9-1.7 | 1.064 | 1.0 | 0.2-4.0 | 0.591 | 1.0 | 0.0-5.3 |
| Extension: >10% | 1.261 | 0.155 | 0.9-1.7 | 1.063 | 0.710 | 0.8-1.2 | 1.065 | 0.615 | 0.5-3.7 |
| CLIPi: Int+high¶ | 1.316 | 0.086 | 0.9-1.7 | 1.213 | 0.066 | 1.0-1.4 | 1.056 | 0.623 | 0.9-1.2 |
| TNMB: IB | 1.205 | 0.256 | 0.8-1.6 | 1.042 | 0.724 | 0.8-1.2 | 1.056 | 0.623 | 0.9-1.2 |
| Folliculotropism: | 0.747 | 1.0 | 0.1-4.5 | - | - | - | - | - | - |
| Pautrier's Microabscesses: | 1.529 | 0.747 | 0.1-4.5 | 0.946 | 1.0 | 0.1-6.7 | - | - | - |
| Lymphoid Atypia: | 2.705 | 0.193 | 0.6-10.6 | 1.076 | 0.674 | 0.8-1.3 | 1.159 | 1.0 | 0.1-10.3 |
| Positive PD-1: | 1.780 | 0.365 | 0.6-4.7 | 2.571 | 0.579 | 0.6-10.3 | 2.571 | 0.394 | 0.3-21.4 |

* Hazard Ratio (HR).

** Confidence interval 95% (CI).

¶ Intermediary and High-risk patients, according to CLIPi score, were grouped for the bivariate analysis.

cutoff positivity > 3% of marked lymphocytes; and 84% (21/25) cutoff: at least 11% of marked lymphocyte; PD-1 positivity in MF patients, respectively, after applying a similar methodology. However, their cutoff points for positivity determination were more sensitive [16,17].

Nguyen *et al*, published the results of a PD-1 immunopositivity research; they used immunohistochemical examinations from previous biopsies of cutaneous lymphoma patients in the study. In total, they assessed 103 specimens of MF patients. At least 50% PD-1 positivity in lymphoid cells was identified in 14/21 (66.7%), 23/34 (67.6%) and 11/17 (64.7%) MF patients with patches, plaques and tumor lesions, respectively [9].

Our findings were similar to the ones published by Cetinozman *et al*, [10]. Low intensity superficial perivascular lymphoid infiltrate, characteristic of early-stage MF could, at least partly, justify the low PD-1 expression. On the other hand, we identified intense lymphoid infiltration cases, sometimes even with a lichenoid pattern, without PD-1 expression (Figure 5).

Percentages of disease progression, mortality and specific mortality found in our study are slightly higher when compared with other studies, especially when we take into account that those events were found only after 5 years, and not 10 [18-20]. Perhaps those findings are influenced by a majority of patients in our sample with plaques and stage IB.

There is growing interest in identifying relevant factors contributing to better prognostic analysis, besides the current staging model [21-25].

A case report published by Ogurinate *et al* (2014) caught our attention [11]. They had shown an MF patient who had a quick and aggressive disease, progressing from early-stage MF to tumor lesions, despite adequate management. Intense PD-1 positivity was documented in immunohistochemistry examinations. Also, they had hypothesized that PD-1

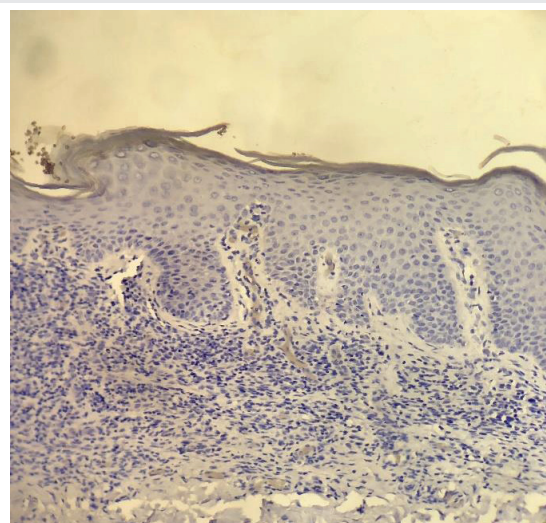


Figure 5: Immunohistochemistry. PD-1. 200x. Negative expression, even with an intense lichenoid lymphoid infiltrate.jpg.

expression were related to rapidly progressive MF since cellular immunovigilance against tumor cells tends to diminish when it is activated with PD-L1 and PD-L2 ligands [11].

Another previous study by Nguyen *et al.* (2017), found 3 patients in the PD-1 positive with a longer follow-up and, apparently, they presented early progression to advanced stages of the disease. Therefore, they suggested that PD-1 expression might have prognostic importance when analyzing MF patients [9].

We could not find a statistically significant association between PD-1 expression and the events studied. Therefore, in our sample, PD-1 could not be defended as a poor prognostic factor.

Important limitations of the present study are: retrospective nature, small sample, and relatively low incidence of events. With a quite a small sample size and only a relatively few events of progression and death, it is unlikely to find meaningful associations.

Conclusions

Based on the present results, PD-1 positivity in early-stage MF was low; therefore, such positivity could not be correlated to the studied events, which justified the statement that such marker didn't have prognostic importance. The literature on the subject is still scarce and, as discussed, controversial. Conclusively, it is pertinent to state it that the retrospective character of the study and its small sample are limitations of our research. New studies are needed to get deeper insights on the subject.

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